

Topics in Approximability

Konstantinos Mastakas

Department of Mathematics, University of Athens, Athens, Greece

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Approximation Algorithms

Optimization Problem

Given an optimization problem Π and instance I of Π , let $S(I)$ denote the set of feasible solutions for I , then

$\text{OPTIMUM}(I) = \min_{s \in S(I)} v(s)$ (or $\max_{s \in S(I)} v(s)$) for minimization (or maximization) where $v(s)$ denotes the value of the instance

ϵ - Approximation Algorithm

An algorithm A is an ϵ - approximation algorithm for problem Π iff for every instance I , $\frac{|v(A(I)) - \text{OPTIMUM}(I)|}{\max\{\text{OPTIMUM}(I), v(A(I))\}} \leq \epsilon$, holds.

Approximation Threshold

A problem's Π approximation threshold is the $\inf \{\epsilon \geq 0 : \text{there exists a polynomial } \epsilon - \text{approximation algorithm}\}$

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Polynomial Time Approximation Scheme (PTAS)

An algorithm A is a PTAS for the optimization problem Π , if for every instance I and $\epsilon > 0$ the relative error of $A(I, \epsilon)$ from the OPTIMUM is at most ϵ and $A(I, \epsilon)$ is calculated in time polynomially depending on $|I|$.

If $A(I, \epsilon)$ is also polynomially depending on $\frac{1}{\epsilon}$, then A is called a Fully PTAS (FPTAS).

The probabilistic relaxation of FPTAS is FPRAS, where an algorithm A is called an FPRAS for the problem Π , if for every instance of a problem, the probability, the relative error to be less than ϵ is greater than or equal to $\frac{3}{4}$.

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FPTAS for Knapsack

Consider that there are n objects, and each of them ($1 \leq i \leq n$) has a profit (p_i) and a weight (w_i), and we want to put a subset of the objects (the most profitable one) in a knapsack that can contain objects with weight at most W .

Pseudopolynomial algorithm

Consider P to be the maximum profit of the objects, then $\sum_i p_i \leq nP$, then for $1 \leq i \leq n$ and $0 \leq p \leq nP$ let $W(i, p)$ to be the minimum weight of a set $S \subseteq \{1, 2, \dots, i\}$ such that $\sum_{u \in S} p_u = p$, ∞ otherwise (no set with sum of profits equals to p exists).

$W(1, p_1) = w_1$ and $W(1, p) = \infty, p \neq p_1$ and

$W(i+1, p) = \min \{W(i, p), W(i, p - p_{i+1}) + w_{i+1}\}$. Using dynamic programming the problem is solved in $O(n^2P)$

FPTAS: Consider an arbitrary number b and then $p'_i = \lfloor \frac{p_i}{2^b} \rfloor$ (remove the last b digits), and apply the pseudopolynomial algorithm. Now the time is $O(\frac{n^2P}{2^b})$, and for the solution found the relative error is at most $\frac{n2^b}{P}$.

So, for every $\epsilon > 0$, b is chosen to be equal to $\lceil \log \frac{\epsilon P}{n} \rceil$ and then the execution time is $O(\frac{n^3}{\epsilon})$

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Definition of L-Reductions

Consider the optimization problems Π_1 and Π_2 , then the pair of functions (f, g) is an L-reduction from Π_1 to Π_2 iff:

- 1 f, g computable in logarithmic space.
- 2 for any instance I of Π_1 , $f(I)$ is an instance of Π_2 .
- 3 if s is a solution of $f(I)$, then $g(s)$ is a solution of I .
- 4 There are positive constant numbers α, β such that:
 - $\text{OPTIMUM}(f(I)) \leq \alpha \cdot \text{OPTIMUM}(I)$ and
 - If $s \in S(f(I))$, then
$$|\text{OPTIMUM}(I) - v(g(s))| \leq \beta |\text{OPTIMUM}(f(I)) - v(s)|$$

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Properties of L-Reductions

Transitivity: Consider the optimization problems Π_1, Π_2 and Π_3 , if there exist (f, g) and (f', g') L-Reduction from Π_1 to Π_2 and Π_2 to Π_3 , respectively, then there exist an L-Reduction $(f' \cdot f, g \cdot g')$ from Π_1 to Π_3 (where $(h \cdot h')(x) = h(h'(x))$).

Proposition: Let (f, g, α, β) an L-Reduction from Π_1 to Π_2 , and there exists a polynomial time ϵ -approximation algorithm for Π_2 , then there exists a polynomial time approximation algorithm for Π_1 with ratio $\frac{\alpha\beta\epsilon}{1-\epsilon}$.

Proof: Consider I to be an instance of Π_1 and $s \in S(f(I))$, the solution of the approximation algorithm of Π_2 , then

$$\begin{aligned} \frac{|\text{OPTIMUM}(I) - v(g(s))|}{\max\{\text{OPTIMUM}(I), v(g(s))\}} &\leq \frac{\beta|\text{OPTIMUM}(f(I)) - v(s)|}{\frac{\text{OPTIMUM}(f(I))}{\alpha}} \\ &\leq \frac{\alpha\beta|\text{OPTIMUM}(f(I)) - v(s)|}{(1-\epsilon)\max\{\text{OPTIMUM}(f(I)), v(s)\}} \leq \frac{\alpha\beta\epsilon}{1-\epsilon} \end{aligned}$$

Theorem: Let Π_1, Π_2 be optimization problems, then if Π_1 L-Reduces to Π_2 and there exists a PTAS for Π_2 then there exists a PTAS for Π_1 .

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Strict NP (SNP): is the class of the decision problems that can be expressed as: $\exists S \forall u_1 \forall u_2 \dots \forall u_k \phi(G_1, G_2, \dots, G_m, S, u_1, u_2, \dots, u_k)$

for optimization problems, a more appropriate class is considered

MAXSNP₀: is the class of the optimization problems that can be expressed as:

$$\max_S \left| \left\{ (u_1, u_2, \dots, u_k) \in V^k : \phi(G_1, G_2, \dots, G_m, S, u_1, u_2, \dots, u_k) \right\} \right|$$

MAXSNP: An optimization problem Π belongs to the MAXSNP class iff there exists an L-Reduction from Π to an optimization problem $\Pi' \in \text{MAXSNP}_0$

MAX-CUT is a MAXSNP₀ (also, MAXSNP) problem:

$$\max_{S \subseteq V} \left| \left\{ (u, v) : (G(u, v) \vee G(v, u)) \wedge S(u) \wedge \neg S(v) \right\} \right|$$

Theorem: Every problem belonging to MAXSNP₀ written as

$$\max_S \left| \left\{ (u_1, u_2, \dots, u_k) : \phi \right\} \right|$$

has a $1 - 2^{-n_\phi}$ -approximation algorithm, with n_ϕ indicating how many atomic expressions in ϕ are related to S .

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