

Hardness of Approximation

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Characterization of NP

Hardness of approximation

NP prover-verifier

For every language $L \in NP$, there is a prover P and a polynomial verifier V such that the verifier reads:

- ▶ an input x
- ▶ a proof y

satisfying the following

- ▶ Completeness: If $x \in L$ then P produces a proof y that $V^y(x)$ always accepts
- ▶ Soundness: If $x \notin L$, $V^y(x)$ rejects every proof

$PCP_{c(n),s(n)}[p(n), q(n)]$ (Arora-Safra 92)

A language $L \in PCP_{c(n),s(n)}[p(n), q(n)]$, there exists a prover P and a polynomial verifier V such that the verifier reads:

- ▶ an input x of length n
- ▶ a random input r of length $p(n)$
- ▶ randomly chosen $q(n)$ bit from a proof y

satisfying the following

- ▶ Completeness: If $x \in L$ then P produces a proof y that $V^y(x)$ accepts with probability $\geq c(n)$.
- ▶ Soundness: If $x \notin L$, $V^y(x)$ rejects the proof with probability $< s(n)$.

$PCP[O(\log n), O(1)]$

A language $L \in PCP[O(\log n), O(1)]$, there exists a prover P and a polynomial verifier V such that the verifier reads:

- ▶ an input x of length n
- ▶ a random input r of length $c \log n$
- ▶ randomly chosen k bit from a proof y

satisfying the following

- ▶ Completeness: If $x \in L$ then P produces a proof y that $V^y(x)$ accepts with probability 1.
- ▶ Soundness: If $x \notin L$, $V^y(x)$ rejects the proof with probability $< \frac{1}{2}$.

PCP Theorem (Arora, Lund, Motwani, Sudan, Szegedy 92)

PCP Theorem

$$NP = PCP[O(\log n), O(1)]$$

Let's flip the coin

The coin

For every language $L \in NP$ there is always a way to write proofs such that for every instance x :

- ▶ If $x \in L$ then there is a correct proof
- ▶ If $x \notin L$ then every proof has a lot of errors

Two sides of the coin

- ▶ PCP theorem
- ▶ Hardness of approximation

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Characterization of NP

Hardness of approximation

Approximability of NP-hard problems

Decision problem

NP-hard

Optimization problem

- ▶ no approximation
- ▶ $O(\text{poly}(n))$ -approximation
- ▶ $O(\log n)$ -approximation
- ▶ $O(1)$ -approximation
- ▶ PTAS-FPTAS, $(1 + \epsilon)$ -approximation

Approximability of Max SAT

Theorem

The PCP Theorem implies that there is an $\epsilon_1 > 0$ such that there is no polynomial time $(1 + \epsilon_1)$ -approximate algorithm for MAX-3SAT, unless $P = NP$.

Proof: The reduction

- ▶ We check for any random string r and any input x : 2^k different possible bits on the proof
- ▶ Hence, the verifier is a boolean function
$$f_r^x = C_{r,1} \wedge C_{r,2} \cdots \wedge C_{r,2^k}$$
- ▶ Each of these clause has k literals: replaced by $(k - 2)$ clauses with 3 literals.

The proof: No PTAS for the MAX 3SAT

- ▶ At least half of the random inputs reject the proof (at least one of their clauses is unsatisfied) due to Soundness
- ▶ Size of random input: $c \log n$
- ▶ Number of random inputs: $2^{c \log n} = n^c$
- ▶ At least $\frac{n^c}{2}$ clauses unsatisfied.
- ▶ Total number of clauses: $(k-2)2^k n^c$
- ▶ At least $\frac{n^c/2}{(k-2)2^k n^c} = \frac{1}{(k-2)2^{k+1}}$ unsatisfied.
- ▶ Unless P=NP, no better approximation than $1 - \frac{1}{(k-2)2^{k+1}}$ -approx.

Tight inapproximability for MAX-3SAT

Theorem (Hastad 01)

For every $\epsilon > 0$, $NP = PCP_{1-\epsilon, 1/2+\epsilon}[O(\log n), 3]$. Furthermore the verifier behaves as follows: it uses randomness to pick three entries i, j, k in the witness and a bit b , and it accepts iff $w_i \oplus w_j \oplus w_k = b$.

- ▶ Hence, 4 clauses instead of $(k-2)2^k$ for every random string.
- ▶ Unless $P=NP$, no better approximation than $(1 - \frac{1}{4 \times 2)^-} = \frac{7}{8} - \delta$ -approx, for every δ .

Approximability of Independent Set

Theorem

There is a constant $c > 1$ such that if there is a polynomial time n^c -approximate algorithm for Independent Set then $P = NP$

Reduction

- ▶ For every random string and different possible bits on the proof, we create a node
- ▶ All the nodes of the same random string have an edge between them
- ▶ All the nodes that refer to the same bit but have different "opinion" for the bit have an edge between them

Inapproximability of Independent Set

- ▶ If $x \in L$, then there exists an independent set of size n^c .
- ▶ If $x \notin L$, from soundness at most half of the random string can have compatible "opinions" for the same bits: $\frac{n^c}{2}$
- ▶ PCP one-side error: There exists some constant t s.t. no better than n^t -approximation

More inapproximability results

- ▶ TSP : no-approximation
- ▶ Univeral scheduling: $3/2 - \epsilon$
- ▶ Disjoint paths: $m^{-1/2+\epsilon}$
- ▶ Steiner Tree: no-approximation scheme
- ▶ UFL: 1.463
- ▶ Set Cover: $c \log n$
- ▶ Max-E3SAT: $7/8 + \epsilon$
- ▶ Independent Set: no $n^{-1+\epsilon}$