

# Pseudorandomness and Derandomization

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# Probabilistic Algorithms

- Primality testing
- Polynomial Identity Testing

Initial conjecture: Probabilistic algorithms are more powerful than deterministic ones.

There exist problems that can be solved probabilistically in polynomial time but not deterministically.

# BPP = P Conjecture

BPP has surpassed the class P as the class of problems that are considered efficiently solvable.

Two arguments to support this conjecture:

- A large number of algorithms have been implemented and work fine without access to any source of true randomness
- Every language in BPP can be non-trivially derandomized under certain assumptions

# Computational Theory of Pseudorandomness

Theory introduced by Blum, Goldwasser, Micali and Yao.

Provides us with a useful conditional derandomization theorem:

“If assumption **X** is true, then every problem that can be solved by a probabilistic polynomial time algorithm can also be solved by a deterministic algorithm of running time **Y**.”

Originally, shown for

**X**=“there is no polynomial time algorithm for factorization”, and

**Y**=“time  $2^{n^\epsilon}$ , for every  $\epsilon > 0$ ”

# Conditional Derandomization Goal

The goal became to:

- Strengthen  $Y$  to be polynomial time
- While the assumption  $X$  remains plausible

It was achieved by Impagliazzo and Wigderson in 1997.

# Impagliazzo-Wigderson Result

Shown in 3 steps:

- Worst-case complexity of certain problems implies a seemingly stronger complexity of their average-case complexity (Amplification of hardness)
- Average case complexity assumption suffices to construct a certain very strong pseudorandom generator.
- This generator suffices to simulate deterministically in polynomial time every polynomial-time probabilistic algorithm.

# But what is a pseudorandom generator?

Informally, it is just a map

$G: \{0,1\}^t \rightarrow \{0,1\}^m$ ,  $t \ll m$ , such that  
if  $x$  is uniformly selected in  $\{0,1\}^t$ , the distribution  $G(x)$   
looks like the uniform distribution of  $\{0,1\}^m$

Ideally, we would like  $G(U_t)$  to be close to  $U_m$  in statistical distance

But this too strong of a definition... Consider the statistical test  $T$   
to be all the possible outcomes of  $G$ .

$$\Pr[G(U_t) \in T] = 1, \text{ but } \Pr[U_m \in T] = \frac{2^t}{2^m}$$

# Efficiently computable statistical tests

Computational Indistinguishability: Two distributions  $\mu_x$  and  $\mu_y$  over  $\{0,1\}^m$  are  $(K, \varepsilon)$ -indistinguishable if  $\forall T \subseteq \{0,1\}^m$  of circuit complexity at most  $K$ ,  $\left| \Pr_{x \sim \mu_x} [x \in T] - \Pr_{y \sim \mu_y} [y \in T] \right| \leq \varepsilon$

Pseudorandomness: A distribution  $\mu_x$  over  $\{0,1\}^m$  is  $(K, \varepsilon)$ -pseudorandom if it is  $(K, \varepsilon)$ -indistinguishable from  $U_m$ .  
 $\forall T \subseteq \{0,1\}^m$ , of circuit complexity  $\leq K$ ,  $\left| \Pr_{x \sim \mu_x} [x \in T] - \frac{|T|}{2^m} \right| \leq \varepsilon$



# Quick Pseudorandom Generator

Suppose that for every  $n$  there is a  $G_n: \{0,1\}^{t(n)} \rightarrow \{0,1\}^n$  that is  $(n^2, \frac{1}{n})$ -pseudorandom, and that there is an algorithm  $G$  that,

given  $n, s$  computes  $G_n(s)$  in time  $2^{O(t(n))}$ .

Then  $G$  is called a  $t(n)$ -quick generator.

logQPRG: A  $O(\log(n))$ -quick pseudorandom generator

# Application of log-QPRG

Suppose that a logQPRG exists and suppose that  $f$  is a function and  $A$  is a polynomial time probabilistic algorithm that computes  $f$ , with  $Pr[A(r, I) = f(I)] \geq \frac{3}{4}$  and  $m = |r|$ .

Choose  $K$  to be an efficiently computable upper bound to the circuit complexity of  $T = \{r : A(r, I) = f(I)\}$  and  $n$  such that  $n \geq |r|$ ,  $n^2 \geq K$ ,  $n \geq 5$ .  $n$  is polynomial in the length of  $I$ , because  $A$  runs in polynomial time.

Compute  $A(G_n(s), I) \forall s \in \{0, 1\}^t$  and output the most frequent value.

$Pr[A(G_n(U_t), I) = f(I)] \geq \frac{3}{4} - \frac{1}{n} > \frac{1}{2}$ , because  $Pr[A(U_m, I) = f(I)] \geq \frac{3}{4}$

# Average case circuit complexity

A set  $S \subseteq \{0,1\}^n$  is  $(K, \varepsilon)$ -hard on average if for every set  $T$  computable by a circuit of size  $\leq K$  we have  $Pr[1_S(x) = 1_T(x)] \leq \frac{1}{2} + \varepsilon$

A set  $L \subseteq \{0,1\}^*$  is  $(K(n), \varepsilon(n))$ -hard on average if, for every  $n$   $L \cap \{0,1\}^n$  is  $(K(n), \varepsilon(n))$ -hard on average

# Impagliazzo-Wigderson Result - Proof

## Nisan and Wigderson theorem

Suppose there is a set  $L$  such that : (i)  $L$  can be decided in time  $2^{O(n)}$  and (ii) there is a constant  $\delta$  such that  $L$  is  $(2^{\delta n}, 2^{-2\delta n})$ -hard on average. Then a logQPRG exists.

## Impagliazzo and Wigderson theorem

Suppose there is a set  $L$  such that : (i)  $L$  can be decided in time  $2^{O(n)}$  and (ii) there is a constant  $\delta > 0$  such that the circuit complexity of  $L$  is  $\geq 2^{\delta n}$ . Then there is a set  $L'$  such that: (i)  $L$  can be decided in time  $2^{O(n)}$  and (ii) there is a constant  $\delta' > 0$  such that  $L'$  is  $(2^{\delta' n}, 2^{-\delta' n})$ -hard on average.

# Onward to uniform hardness results

Complexity class #P: Counting class that outputs the number of solutions to a problem that can be solved by a NDTM with polynomial time complexity.  
Equivalently, outputs the number of accepting branches of such a NDTM.

PERMANENT: of a square matrix  $A$   $n \times n$ :

$$\text{perm}(A) = \sum_{\pi} \prod_{i=1}^n a_{i, \pi(i)}$$

PERMANENT is #P-Complete

# Toda's Theorem and BPP Derandomization

Toda's Theorem:  $PH \subseteq P^{\#P}$

If  $EXP \not\subseteq BPP$ , then for every  $\varepsilon > 0$ , there is a quick generator  $G: \{0,1\}^{n^\varepsilon} \rightarrow \{0,1\}^n$  that is pseudorandom with respect to any P-sampleable family of n-size Boolean circuits infinitely often.

Proof: if  $EXP \not\subseteq P/poly$ , then we have proved that such a generator exists.

if  $EXP \subseteq P/poly$ , then EXP collapses to  $\Sigma_2^P$  and from Toda's theorem:

$\Sigma_2^P \subseteq P^{\#P}$ . Therefore, #P-Complete languages are complete for EXP.

PERMANENT can be shown to be in BPP. So,  $BPP = EXP$ .

# RP Derandomization

A generator  $H$  is called a hitting-set generator with respect to Any  $P$ -sampleable family of  $n$ -size Boolean circuits if, for any Probabilistic polynomial time algorithm  $R$ , where  $R(1^n)$  outputs a boolean circuit of size  $n$ , there are infinitely many  $n$  s.t.

$$\Pr [ R(1^n) \in B_H(n) ] < 1$$

If  $\text{EXP} \not\subseteq \text{ZPP}$ , then for every  $\varepsilon > 0$ , there is a quick hitting-set generator

$$H: \{0,1\}^{n^\varepsilon} \rightarrow \{0,1\}^n.$$

If generator EASY doesn't work then  $\text{ZPP} = \text{BPP}$

# RP Derandomization

At least one of the following holds

1.  $RP \subseteq ZPP$
2. For every  $\epsilon > 0$ , every RP algorithm can be simulated in deterministic time  $2^{n^\epsilon}$  so that, for any polynomial time computable function  $f: \{1\}^n \rightarrow \{0,1\}^n$ , there are infinitely many  $n$  where this simulation is correct on the input  $f(1^n)$



# AM Derandomization

If  $E \not\subseteq \text{AM-TIME}(2^{\varepsilon n})$  for some  $\varepsilon > 0$  then every language  $L \in \text{AM}$  has an NP-algorithm  $A$  such that for every polynomial time computable function  $f: \{1\}^n \rightarrow \{0,1\}^n$ , there are infinitely many  $n$  where the algorithm  $A$  correctly decides  $L$  on the input  $\{1\}^n$ .

This means that AM is almost as powerful as E, or AM is no more powerful than NP from the point of view of any efficient observer

# Circuit lower bounds from the derandomization of MA

If  $\text{NEXP} \subset \text{P/poly}$ , then  $\text{NEXP} = \text{MA}$

$\text{EXP} \subset \text{P/poly}$  implies  $\text{EXP} = \text{AM}$ , so it is sufficient to prove that  $\text{NEXP} \subset \text{P/poly}$  implies  $\text{NEXP} = \text{EXP}$ .

Use generator EASY again to search for NEXP-witnesses. If the generator succeeds for every language  $L \in \text{NEXP}$  then  $\text{NEXP} = \text{EXP}$ . Otherwise argue that EASY must succeed.